

GUIDE TO COMPLETING THIS FORM

- o This form is for AUSTRALIAN COMPANIES only. For companies incorporated or registered outside of Australia use the FOREIGN COMPANY IDENTIFICATION FORM.
- o Complete one form for each company.
- o Tax information must be collected from an authorised representative of the Company.

SECTION 1: AUSTRALIAN COMPANY IDENTIFICATION PROCEDURE

1.1 General Information

Full name as registered by ASIC

ACN

Registered office address (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

Principal place of business (if any) (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

1.2 Company Type (select ✓ only ONE of the following categories)

- Proprietary** (companies whose name ends with Proprietary Ltd or Pty Ltd; also known as private companies) *Provide the names of the directors below and proceed to 1.3*
- Public** (includes public listed company, a majority owned subsidiary of a public listed company or a licensed company subject to federal, state or territory government regulatory supervision) *Proceed to 1.3*

If Proprietary, provide the names of all directors.

Full given name(s)

Surname

1
2
3
4

If there are more directors, provide details on a separate sheet and tick this box .

1.3 Listing and Regulatory Details - if applicable (select ✓ any of the following categories if applicable)

- Australian Public Listed company** (companies that are listed on an Australian financial market such as the ASX) *Proceed to Section 2*
Name of market / exchange
- Majority Owned Subsidiary of an Australian Public Listed company** (companies that are majority owned by an Australian company that is listed on an Australian financial market such as the ASX) *Proceed to Section 2*
Australian listed company name
Name of market / exchange
- Regulated company** (subject to the supervision of a Commonwealth, State or Territory statutory regulator beyond that provided by ASIC as a company registration body. Examples include Australian Financial Services Licensees (AFSL); Australian Credit Licensees (ACL); or Registrable Superannuation Entity (RSE) Licensees). However, this excludes charities registered with/regulated by the ACNC. *Proceed to Section 2*
Regulator name
Licence details (e.g. AFSL, ACL, RSE)

1.4 Beneficial Ownership

Please complete either 1.4.1 or 1.4.2 (or both if applicable) if the company is NOT one of the 3 types of companies defined in section 1.3 above.

1.4.1 Shareholder Beneficial Owners

Provide the names of the individuals who ultimately own 25% or more of the company's issued share capital (through direct or indirect shareholdings).

Complete all fields below and provide a legible certified copy of ID for each individual.

Beneficial Owner 1	Beneficial Owner 2	Beneficial Owner 3
Full given name(s) <input type="text"/>	Full given name(s) <input type="text"/>	Full given name(s) <input type="text"/>
Surname <input type="text"/>	Surname <input type="text"/>	Surname <input type="text"/>
Residential Address <small>(PO Box is NOT acceptable)</small> <input type="text"/>	Residential Address <small>(PO Box is NOT acceptable)</small> <input type="text"/>	Residential Address <small>(PO Box is NOT acceptable)</small> <input type="text"/>
Suburb <input type="text"/> State <input type="text"/>	Suburb <input type="text"/> State <input type="text"/>	Suburb <input type="text"/> State <input type="text"/>
Country <input type="text"/> Postcode <input type="text"/>	Country <input type="text"/> Postcode <input type="text"/>	Country <input type="text"/> Postcode <input type="text"/>
Date of Birth <input type="text"/>	Date of Birth <input type="text"/>	Date of Birth <input type="text"/>
Role (such as Managing Director) <input type="text"/>	Role (such as Managing Director) <input type="text"/>	Role (such as Managing Director) <input type="text"/>

If there are more Shareholder Beneficial Owners, provide details on a separate sheet and tick this box .

1.4.2 Controller Beneficial Owners

If there are no individuals who meet the requirement of 1.4.1, provide the names of the individuals who directly or indirectly control* the company.

* includes exercising control through the capacity to determine decisions about financial or operating policies; or by means of trusts, agreements, arrangements, understanding & practices; voting rights of 25% or more, including veto power. If no such person can be identified then the most senior official/s of the company (such as the managing director or directors who are authorised to sign on the company's behalf).

Complete all fields below and provide a legible certified copy of ID for each individual.

Controller 1	Controller 2	Controller 3
Full given name(s) <input type="text"/>	Full given name(s) <input type="text"/>	Full given name(s) <input type="text"/>
Surname <input type="text"/>	Surname <input type="text"/>	Surname <input type="text"/>
Residential Address <small>(PO Box is NOT acceptable)</small> <input type="text"/>	Residential Address <small>(PO Box is NOT acceptable)</small> <input type="text"/>	Residential Address <small>(PO Box is NOT acceptable)</small> <input type="text"/>

Suburb <input type="text"/>	State <input type="text"/>	Suburb <input type="text"/>	State <input type="text"/>	Suburb <input type="text"/>	State <input type="text"/>
Country <input type="text"/>	Postcode <input type="text"/>	Country <input type="text"/>	Postcode <input type="text"/>	Country <input type="text"/>	Postcode <input type="text"/>
Date of Birth <input type="text"/>		Date of Birth <input type="text"/>		Date of Birth <input type="text"/>	
Role (such as Managing Director) <input type="text"/>		Role (such as Managing Director) <input type="text"/>		Role (such as Managing Director) <input type="text"/>	

If there are more Controller Beneficial Owners, provide details on a separate sheet and tick this box .

SECTION 2: TAX INFORMATION

Collection of tax status in accordance with the United States Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS).

2.1 Tax Status

Tick **one of the Tax Status boxes below or on the next page** (if the company is a Financial Institution, please provide all the requested information below)

A Financial Institution (A custodial or depository institution, an investment entity or a specified insurance company for FATCA/ CRS purposes)

Provide the Company's Global Intermediary Identification Number (GIIN), if applicable

If the company is a Financial Institution but does not have a GIIN, provide its FATCA status (select **ONE of the following statuses**)

- Deemed Compliant Financial Institution
- Excepted Financial Institution
- Exempt Beneficial Owner
- Non Reporting IGA Financial Institution
- Nonparticipating Financial Institution
- Other (describe the company's FATCA status in the box provided)

If the company is a Financial Institution, please proceed to section 3 to complete the form.

Australian Public Listed Company, Majority Owned Subsidiary of an Australian Public Listed company or Australian Registered Charity (Public listed companies or majority owned subsidiaries of Australian listed companies as per 1.3 that are not Financial Institutions as described above or a company that is an Australian Registered Charity)

If the company type is listed above, please proceed to section 3 to complete the form.

An Active Non-Financial Entity (NFE) (Active NFEs include entities where, during the previous reporting period, less than 50% of their gross income was passive income (e.g. dividends, interests and royalties) and less than 50% of assets held produced passive income. For other types of Active NFEs, refer to Section VIII in the Annexure of the OECD 'Standard for Automatic Exchange of Financial Account Information' at <http://www.oecd.org>)

If the company is an Active NFE, please proceed to section 2.3 (Country of Tax Residency).

Other (Entities that are not previously listed – Passive Non-Financial Entities)

Please proceed to section 2.2 (Foreign Beneficial Owners).

2.2 Foreign Beneficial Owners (Individuals)

Are any of the company's Beneficial Owners tax residents of countries other than Australia?

Yes

No

Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

If Yes, please provide the details of these individuals below and complete a separate Individual Identification Form for each Beneficial Owner.

Full given name(s)	Surname	Role (such as Director or Senior Managing Official)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are more Beneficial Owners, provide details on a separate sheet and tick this box. .

Please proceed to section 2.3 (Country of Tax Residency).

2.3 Country of Tax Residency

Is the Company a tax resident of a country other than Australia? Yes No

If Yes, please provide the Company's country of tax residence and tax identification number (TIN) or equivalent below. If the Company is a tax resident of more than one other country, please list all relevant countries below

If No, please proceed to section 3 to complete the form.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or an Employer Identification Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B, or C) for not providing a TIN.

1. Country	<input type="text"/>	TIN	<input type="text"/>	If no TIN, list reason A, B or C	<input type="text"/>
2. Country	<input type="text"/>	TIN	<input type="text"/>	If no TIN, list reason A, B or C	<input type="text"/>
3. Country	<input type="text"/>	TIN	<input type="text"/>	If no TIN, list reason A, B or C	<input type="text"/>

If there are more countries, provide details on a separate sheet and tick this box .

- Reason A** The country of tax residency does not issue TINs to tax residents
- Reason B** The Company has not been issued with a TIN
- Reason C** The country of tax residency does not require the TIN to be disclosed

SECTION 3: COMPANY VERIFICATION

Please complete Section 3.1 and Section 3.2 (if you are a custodian)

Section 3.1: Documentation Requirements for Companies

If you selected one of the 3 types of companies listed in section 1.3 of this form we do not require any identification documents at this point in time.

Cross 'X'	If you did not select one of the 3 types of companies defined in section 1.3 we require all of the following:
<input type="checkbox"/>	You must provide a copy of the company extract taken from the ASIC database OR a copy of the latest company annual statement issued by ASIC which must contain the company name, registered office, registration number and any beneficial owners.
<input type="checkbox"/>	For all beneficial owners listed in 1.4.1 and 1.4.2, you must provide an original certified copy of one of the following types of identification documents for each beneficial owner.* Please attach each identification copy to your application form. <ul style="list-style-type: none"> • Australian State / Territory driver's licence containing a photograph of the person • Australian passport (a passport that has expired within the preceding 2 years is acceptable) • Card issued under a State or Territory for the purpose of proving a person's age containing a photograph of the person • Foreign passport or similar travel document containing a photograph and the signature of the person

Section 3.2: Documentation Requirements for Custodians (leave blank if you are not a custodian)

Cross 'X'	If you are a custodian of another entity please provide one of the following documents to confirm your appointment as Custodian:
<input type="checkbox"/>	Extract of a Custody Agreement evidencing authority to Act for the Client specified in section 3B of the Application Form
<input type="checkbox"/>	Letter appointing the Custodian signed by the Client specified in section 3B of the Application Form

* For other identification documentation options, instructions on how to certify a document, and who can certify copies of identification documents please refer to the Customer ID form for individuals which is available on our website at ssga.com